

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
28 June 2001 (28.06.2001)

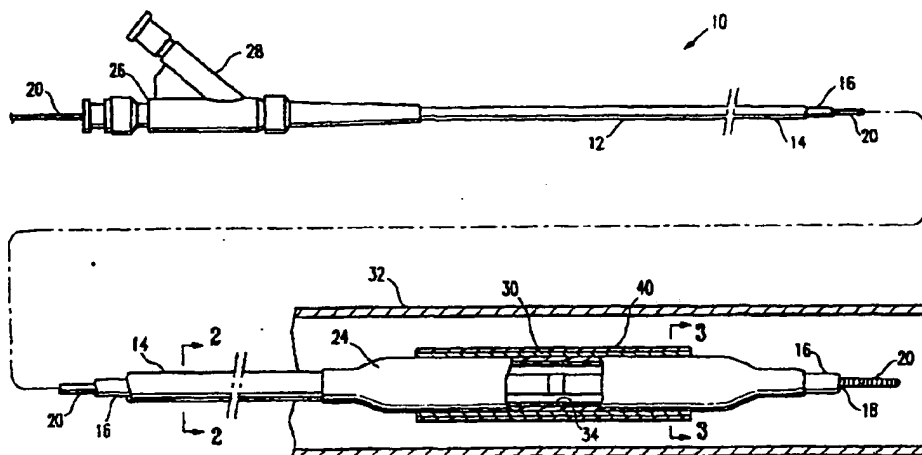
PCT

(10) International Publication Number
WO 01/45766 A1

- (51) International Patent Classification⁷: A61L 29/04, 27/16 (74) Agents: CHOW, Y., Ping et al.; Heller Ehrman White & McAuliffe LLP, 275 Middlefield Road, Menlo Park, CA 94025-3506 (US).
- (21) International Application Number: PCT/US00/34226 (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (22) International Filing Date: 14 December 2000 (14.12.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 09/470,056 22 December 1999 (22.12.1999) US
09/713,642 14 November 2000 (14.11.2000) US
- (71) Applicant: ADVANCED CARDIOVASCULAR SYSTEMS, INC. [US/US]; 3200 Lakeside Drive, P.O. Box 58167, Santa Clara, CA 95052-8167 (US).
- (72) Inventors: SIMHAMBHATLA, Murthy, V.; 4772 Rio Rita Way, San Jose, CA 95129 (US). AINSWORTH, Robert, D.; 400 Twin Pines Drive, Scotts Valley, CA 95066 (US). SALTMAN, Robert, P.; 1016 Lakeview Way, Redwood City, CA 94062 (US).
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:
— With international search report.
— Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.

[Continued on next page]

(54) Title: MEDICAL DEVICE FORMED OF ULTRAHIGH MOLECULAR WEIGHT POLYOLEFIN



(57) Abstract: Medical devices having at least a component, such as a catheter balloon, stent cover and vascular graft, formed of ultrahigh molecular weight polyolefin, such as ultrahigh molecular weight polyethylene. The device component is formed from ultrahigh molecular weight polyethylene that has been processed so that it is microporous and has an oriented node and fibril structure. The device component expands compliantly at low strains and are substantially less compliant at higher strains. The invention also comprises methods for making such medical devices, including the steps of compacting a polyethylene powder and deforming it to impart the oriented structure.

WO 01/45766 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

**MEDICAL DEVICE FORMED OF ULTRAHIGH
MOLECULAR WEIGHT POLYOLEFIN
BACKGROUND OF THE INVENTION**

5

This invention generally relates to medical devices, and particularly to balloon catheters, stent covers, and vascular grafts.

In percutaneous transluminal coronary angioplasty (PTCA) procedures, a guiding catheter is advanced until the distal tip of the
10 guiding catheter is seated in the ostium of a desired coronary artery. A guidewire, positioned within an inner lumen of an dilatation catheter, is first advanced out of the distal end of the guiding catheter into the patient's coronary artery until the distal end of the guidewire crosses a lesion to be dilated. Then the dilatation catheter having an
15 inflatable balloon on the distal portion thereof is advanced into the patient's coronary anatomy, over the previously introduced guidewire, until the balloon of the dilatation catheter is properly positioned across the lesion. Once properly positioned, the dilatation balloon is inflated with liquid one or more times to a predetermined size at relatively high
20 pressures (e.g. greater than 8 atmospheres) so that the stenosis is compressed against the arterial wall and the wall expanded to open up the passageway. Generally, the inflated diameter of the balloon is approximately the same diameter as the native diameter of the body lumen being dilated so as to complete the dilatation but not
25 overexpand the artery wall. Substantial, uncontrolled expansion of the balloon against the vessel wall can cause trauma to the vessel wall. After the balloon is finally deflated, blood flow resumes through the dilated artery and the dilatation catheter can be removed therefrom.

In such angioplasty procedures, there may be restenosis of the
30 artery, i.e. reformation of the arterial blockage, which necessitates

either another angioplasty procedure, or some other method of repairing or strengthening the dilated area. To reduce the restenosis rate and to strengthen the dilated area, physicians frequently implant an intravascular prosthesis, generally called a stent, inside the artery at the site of the lesion. Stents may also be used to repair vessels having an intimal flap or dissection or to generally strengthen a weakened section of a vessel. Stents are usually delivered to a desired location within a coronary artery in a contracted condition on a balloon of a catheter which is similar in many respects to a balloon angioplasty catheter, and expanded to a larger diameter by expansion of the balloon. The balloon is deflated to remove the catheter and the stent left in place within the artery at the site of the dilated lesion. Stent covers on an inner or an outer surface of the stent have been used in, for example, the treatment of pseudo-aneurysms and perforated arteries, and to prevent prolapse of plaque. Similarly, vascular grafts comprising cylindrical tubes made from tissue or synthetic materials such as DACRON may be implanted in vessels to strengthen or repair the vessel, or used in an anastomosis procedure to connect vessels segments together.

In the design of catheter balloons, balloon characteristics such as strength, flexibility and compliance must be tailored to provide optimal performance for a particular application. Angioplasty balloons preferably have high strength for inflation at relatively high pressure, and high flexibility and softness for improved ability to track the tortuous anatomy and cross lesions in the uninflated state. The balloon compliance is chosen so that the balloon will have a desired amount of expansion during inflation. Compliant balloons, for example balloons made from materials such as polyethylene, exhibit substantial

stretching upon application of internal pressure. Noncompliant balloons, for example balloons made from materials such as PET, exhibit relatively little stretching during inflation, and therefore provide controlled radial growth in response to an increase in inflation pressure within the working pressure range.

For many applications, intravascular catheter balloons should be substantially noncompliant once expanded to a working diameter. Further, catheter balloons should also be formed from relatively strong materials in order to withstand the pressures necessary for various procedures without failing. Typically, such characteristics require the use of a material that does not stretch appreciably, which consequently necessitates that the balloon material be folded around the catheter shaft prior to inflation. However, it can be desirable to employ balloons that are not folded prior to inflation, but which are instead expanded to the working diameter from a generally cylindrical or tubular shape having a nominal diameter that conforms to the catheter shaft. Such designs may be used for formed-in-place angioplasty balloons and stent delivery balloons. Prior art formed-in-place balloons have suffered from problems such as insufficient strength, poor control over expansion, and significantly complicated processing during catheter manufacturing.

It would be a significant advance to provide a catheter balloon, and other expandable members such as stent covers, and vascular grafts, with improved processing and expansion characteristics.

SUMMARY OF THE INVENTION

This invention is directed to medical devices, and particularly intracorporeal devices for therapeutic or diagnostic uses, having at least a component formed of ultrahigh molecular weight polyolefin (UHMW polyolefin). In a presently preferred embodiment, the UHMW polyolefin is an ultrahigh molecular weight polyethylene (UHMW polyethylene). A presently preferred embodiment is directed to UHMW polyolefin which is microporous, and having a node and fibril microstructure comprising nodes interconnected by fibrils.

One embodiment of the invention comprises an expandable member such as a balloon for an intraluminal catheter, formed at least in part of the UHMW polyolefin, such as UHMW polyethylene. In another embodiment of the invention, a stent delivery system comprising a balloon catheter and a stent mounted on the balloon has a component, such as the catheter balloon or a stent cover, which is formed at least in part of the UHMW polyolefin, such as UHMW polyethylene. Another embodiment of the invention comprises a vascular graft formed at least in part of the UHMW polyolefin, such as UHMW polyethylene. The terminology vascular graft as used herein should be understood to include grafts and endoluminal prostheses, such as those surgically attached to vessels, as for example in vascular bypass or anastomosis, or implanted within vessels, as for example in aneurysm repair or at the site of a balloon angioplasty or stent deployment. Although discussed below primarily in terms of a balloon catheter having a balloon formed of UHMW polyethylene, the invention should be understood to include other medical devices and particularly intracorporeal devices for a therapeutic or diagnostic

purpose, such as stent covers and vascular grafts formed of UHMW polyolefin, such as UHMW polyethylene. Additionally, although discussed primarily in terms of UHMW polyethylene, it should be understood that the invention applies as well to UHMW polyolefins in general, and to other materials having a node and fibril microstructure such as polypropylene, nylon, and expanded polytetrafluoroethylene.

The UHMW polyethylene has a molecular weight which is higher than the molecular weight of high molecular weight polyethylenes, and which is about 2 million to about 10 million grams/mole, preferably about 3 million to about 6 million grams/mole. Unlike high molecular weight polyethylenes, which generally have a molecular weight of about 400,000 to about 600,000 grams/mole, the UHMW polyethylene is difficult to melt process. Balloons formed from this material exhibit compliant expansion at relatively low strains and exhibit substantially less compliance at higher strains.

The node and fibril structure of the UHMW polyethylene causes it to exhibit essentially compressible deformation at relatively small strains, with a low Young's modulus in tension for the compressed material. At high strains, the UHMW polyethylene balloons of the invention preferably exhibit low compliance due to rearrangement in the microstructure. Embodiments of the invention suited to intravascular applications preferably exhibit compliant radial expansion of about 70% to about 450%, and more particularly 100% to about 400%, of the uninflated diameter, at pressures up to about 6 to about 8 atm. Once expanded, the balloons exhibit relatively low compliance at pressures above about 8 atm and can have a burst pressure of at least about 18 atm. In one embodiment, the UHMW polyethylene exhibits microstructural rearrangement and the balloon exhibits low

compliance in the working pressure range with a radial expansion of about 5% to about 20%, and preferably less than about 15% of the uninflated diameter of the balloon, at inflation pressures of about 6 atm to about 18 atm. In one embodiment, the UHMW polyethylene
5 exhibits a negative Poisson ratio. For stent delivery applications, the UHMW polyethylene preferably has a foam-like compressible state at low strains so that the stent can be crimped onto the balloon with good retention.

One aspect of the invention is directed to a noninflated balloon
10 comprising ultrahigh molecular weight polyolefin in a compressed configuration having a reduced porosity relative to the ultrahigh molecular weight polyolefin in a noncompressed configuration. In one embodiment, the balloon formed of UHMW polyolefin, such as UHMW polyethylene, is compressed in the radial direction of the balloon, to
15 provide a reduced profile medical device component. In another embodiment, the balloon formed of UHMW polyolefin, such as UHMW polyethylene, is compressed in the axial direction of the balloon, to provide reduced axial lengthening during radial expansion of the medical device component formed therefrom.

20 In another embodiment, the UHMW polyolefin, such as UHMW polyethylene, is compressed before being formed into a balloon, to provide a balloon exhibiting improved stress/strain curve response. The UHMW polyolefin compressed before being formed into a balloon is preferably compressed in the direction of the fibrils of the material,
25 i.e., in the direction of the deformation which imparted the node and fibril structure to the material, while the thickness of the material is held constant. However, in an alternative embodiment, the UHMW polyolefin is compressed perpendicular or substantially perpendicular

to the direction of the fibrils. The stress/strain curve response of the compressed UHMW polyolefin is characterized by a sudden increase of stress at increased strain, which allows for the construction of a balloon having desired expansion characteristics with a compliant radial expansion at initial inflation pressures and relatively low compliance at higher inflation pressures. Thus, the compressed UHMW polyethylene exhibits an improved substantial or compliant expansion upon inflation to an internal pressure within a first pressure range, and substantially less expansion within a second pressure range higher than the first pressure range. The compressed material provides a wingless balloon that can provide the desired radial compliance characteristics without excessive balloon length increase or shortening during inflation of the balloon. The shape of the stress/strain curve of the resulting compressed UHMW polyethylene material will depend on factors such as porosity of the material before compression, compression conditions, fibril length, node size and node aspect ratio, ram extrusion conditions, material deformation or orientation conditions, heat set conditions, and the balloon construction characteristics such as winding angle. The compressed UHMW polyethylene is particularly useful for a stent deploying balloon, due to the lack of wings on the unexpanded balloon. In conventional stent deploying balloons, the folded balloon wings of the unexpanded balloon would unfold during inflation of the balloon, resulting in nonuniform expansion of the stent mounted on the unexpanded balloon.

Balloon catheters of the invention generally comprise an elongated shaft with at least one lumen and balloon formed of UHMW polyolefin such as UHMW polyethylene on a distal shaft section with

an interior in fluid communication with the shaft lumen. The balloon catheters of the invention may be configured for a variety of uses, such as angioplasty or stent delivery. A stent delivery catheter employs a balloon having the characteristics of the invention to deploy
5 the stent. Preferably, the oriented polyethylene exhibits a foam-like compressible state at low strains, facilitating crimping of the stent onto the balloon with improved stent retention. In accordance with the invention, the stent may be provided with a stent cover generally comprising a tubular sheath formed of the UHMW polyethylene and
10 configured to be disposed on an outer and/or inner surface of the stent and implanted with the stent in the patient's vessel.

Vascular grafts of the invention generally comprise a tubular body formed of the UHMW polyolefin such as UHMW polyethylene. The vascular graft is configured to be implanted in a patient, and may
15 be used for a variety of procedures including anastomosis, bypass surgery, implantation within a vessel lumen to reduce restenosis, and aneurysm repair.

The invention also comprises methods of forming a medical device component such as a balloon, stent cover or vascular graft,
20 from microporous polyolefin such as polyethylene having an oriented node and fibril structure. Generally, the method comprises the steps of compacting ultrahigh molecular weight polyethylene powder into a billet, deforming the compacted polyethylene to render the polyethylene microporous and to impart an oriented node and fibril
25 structure to the polyethylene, and forming the medical device component from the polyethylene. Optionally, the powder can be sintered prior to deformation. Also optionally, the oriented polyethylene can be heat set. Preferably, a tubular medical device

component such as a balloon may be formed by wrapping a film or sheet of the oriented polyethylene around a mandrel to form a tube and then heat fusing the polyethylene layers together, or by directly producing an oriented tubular member.

5 The medical devices such as catheter balloons, stent covers, and vascular grafts of the invention have improved performance due to the UHMW polyolefin such as UHMW polyethylene which is microporous, biocompatible, and biostable, and which has excellent mechanical properties. Further, UHMW polyethylene is more resistant to electron-
10 beam (i.e., e-beam) degradation than expanded polytetrafluoroethylene (i.e., ePTFE) which degrades when exposed to e-beams, making e-beam sterilization more of an option than with ePTFE. Medical devices such as balloons of this invention can be expanded compliantly to their working diameter but exhibit substantially less compliance at greater
15 pressures, providing control over expansion even at pressures suitable for conventional intravascular procedures such as angioplasty or stent delivery. Further, the formed-in-place balloons of the invention have sufficient strength to provide desired safety to conventional intravascular procedures. UHMW polyethylene also facilitates device
20 manufacture, because the processing temperatures for polyethylene are relatively low, and the polyethylene can be readily attached with adhesives or heat bonded using tie layers to other device components. Thus, bonding to other device components is easier than with ePTFE.

25 These and other advantages of the invention will become more apparent from the following detailed description when taken in conjunction with the accompanying exemplary drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is an elevational view, partially in section, of a balloon catheter for delivering a stent that embodies features of the invention.

FIG. 2 is a transverse cross-section of the catheter shown in
5 FIG. 1 taken at line 2-2.

FIG. 3 is a transverse cross-section of the catheter shown in
FIG. 1 taken at line 3-3, showing the stent disposed over the inflatable
balloon.

FIG. 4 is an elevational view, partially in section, of a vascular
10 graft or stent cover which embodies features of the invention.

FIG. 5 is a transverse cross-section of the graft or cover shown
in FIG. 4, taken along lines 5-5.

DETAILED DESCRIPTION OF THE INVENTION

15

FIGs. 1-3 illustrate an over-the-wire type stent delivery balloon catheter 10 embodying features of the invention. Catheter 10 generally comprises an elongated catheter shaft 12 having an outer tubular member 14 and an inner tubular member 16. Inner tubular member 14 defines a guidewire lumen 18 adapted to slidingly receive a guidewire 20. The coaxial relationship between outer tubular member 14 and inner tubular member 16 defines annular inflation lumen 22. An inflatable balloon 24 disposed on a distal section of catheter shaft 12 having a proximal end sealingly secured to the distal end of outer tubular member 14 and a distal end sealingly secured to the distal end of inner tubular member 16 so that its interior is in fluid communication with inflation lumen 22. An adapter 26 at the proximal end of catheter shaft 12 is configured to direct inflation fluid
20
25

through arm 28 into inflation lumen 22 and provide access to guidewire lumen 18.

In the embodiment illustrated in FIG. 1, an expandable stent 30 is mounted on balloon 24. The distal end of catheter may be advanced to a desired region of a patient's body lumen 32 in a conventional manner and balloon 24 may be inflated to expand stent 30, seating it in the lumen.

In the embodiment illustrated in FIG. 1, the balloon 24 has a layer 34 formed from an elastomeric material, such as polyurethane elastomers, silicone rubbers, styrene-butadiene-styrene block copolymers, and polyamide block copolymers, and the like. In a preferred embodiment, elastomeric layer 34 is on the interior of balloon 24, although in other embodiments it may be on the exterior of the balloon 24. Elastomeric layer 34 expands elastically to facilitate deflation of the balloon 24 to its preinflation diameter and shape, and can also limit or prevent leakage of inflation fluid through the microporous polyethylene.

Balloon 24 is formed at least in part of a UHMW polyethylene. Preferably, the UHMW polyethylene has a molecular weight of about 3 million to about 6 million. Suitable UHMW polyethylenes are available from Hoechst Celanese, and described in Ultrahigh Molecular Weight Polyethylenes (UHMWPE), Engineered Materials Handbook, Vol. 2: Engineering Plastics, H. L. Stein, and WO 91/01210, incorporated by reference herein in its entirety. Presently preferred UHMW polyethylenes are classified by molecular weight determinations detailed in ASTM (American Society for Testing and Methods) D 1601 and D 4020. In a presently preferred embodiment, the UHMW polyethylene is processed so that it is microporous and exhibits an

oriented structure comprising nodes interconnected by fibrils. The microporous UHMW polyethylene with an oriented node and fibril microstructure has a porosity of about 20% to about 90%, and an internodal distance, also expressed as fibril length, of about 5 μm to about 200 μm . Examples of microporous UHMW polyethylenes, having a node and fibril microstructure, and a suitably high orientation with an anisotropic structure or at least significant anisotropy in the structure, are described in WO 91/01210, incorporated by reference herein in its entirety. As described in WO 91/01210, such UHMW polyethylene materials may exhibit a negative Poisson ratio. Balloons formed from this material exhibit compliant expansion at relatively low strains and exhibit substantially less compliance at higher strains. For example, in a presently preferred embodiment, balloon 24 expands compliantly by about 70% to about 450% of the uninflated diameter at pressures of about 6 to about 8 atm. Once expanded, the balloon 24 is relatively noncompliant at pressures greater than about 8 atm, up to the burst pressure of the balloon which preferably is at least about 18 atm.

In the embodiment illustrated in FIG. 1, a stent cover 40 formed of the UHMW polyethylene is disposed on an outer surface of the stent 30. As discussed above, the UHMW polyethylene forming the stent cover 40 can be processed to be microporous with a node and fibril microstructure. Stent cover 40 is secured to the surface of the stent 30 before the stent is introduced into the patient's vasculature, and expanded, together with the stent, to implant the stent and stent cover thereon in the vessel lumen. Stent cover 40 secured to the stent has a generally tubular structure conforming to a surface of the stent. In the presently preferred embodiment illustrated in FIG. 1, the stent

cover 40 extends the length of the stent 30. However, in alternative embodiments the stent cover may have a length longer than or shorter than a length of the stent. The stent cover 40 length may be selected to fit a variety of conventionally sized stents, with a typical diameter
5 of about 2 mm to about 10 mm. The stent cover 40 wall thickness is typically about 20 μm to about 400 μm , preferably about 40 μm to about 100 μm . The stent cover 40 provides a biocompatible, biostable surface on the stent, and reduces plaque prolapse through the stent struts. A stent cover may be provided on an inner surface of
10 the stent (not shown).

In another embodiment of the invention illustrated in FIG. 5, vascular graft 50 comprises a tubular body 51 having a lumen 52 therein, formed of an UHMW polyethylene. Ports 53,54 are at either end of the graft 50. As discussed above the UHMW polyethylene can
15 be processed to be microporous with a node and fibril microstructure. The graft is configured for being implanted in the patient, and it may be expanded into place within a vessel or surgically attached to a vessel, such as at a free end of a vessel. The graft 50 length is generally about 4 to about 80 mm, and more specifically about 10 to
20 about 50 mm, depending on the application, and wall thickness is typically about 40 μm to about 2000 μm , preferably about 100 μm to about 1000 μm . The diameter is generally about 1 to about 35 mm, preferably about 3 to about 12 mm, depending on the application.

A process of forming the microporous node and fibril structure
25 of the UHMW polyethylene generally comprises compacting polyethylene powder into a billet and then deforming the billet through a die and orienting the extrudate to impart the node and fibril structure. The step of compacting the polyethylene powder can be

any suitable means including the presently preferred embodiments of applying pressure, with or without additional heat, or forming a slurry with a lubricating medium and then compacting the slurry into a billet. The lubricating medium is typically evaporated from the slurry after the
5 extrusion of the billet through a die as is discussed below to impart the oriented node and fibril structure. For extrusion without a mineral oil lubricating medium, the polymer billet may optionally be sintered at temperatures exceeding the crystalline melting point of the polymer. After being compacted, the UHMW polyethylene is then deformed to
10 impart the oriented node and fibril structure. Typically, the polyethylene is deformed by extrusion through a die followed by uniaxial or biaxial stretching of the extrudate. The deformation step may be performed either at ambient or elevated temperatures. In one preferred embodiment, sintered polyethylene is ram extruded at
15 ambient temperature to form a sheet of material, which is then stretched, uniaxially or biaxially, to orient the structure. Optionally, the stretched material can be heat set. The processing of the polyethylene also renders it microporous, and the amount of stretch experienced by the material controls the distance between the nodes
20 and the corresponding fibril length.

The size and shape of the UHMW polyethylene particles of the UHMW polyethylene powder can be chosen to influence the node and fibril structure and optimize the properties of the resulting material. For example, the particle morphology determines the coarseness of the
25 node and fibril structure and the ease of fibrillation during the deformation of the billet through a die. In a presently preferred embodiment, the UHMW polyethylene particles used to prepare the compacted polyethylene comprises an aggregate of primary particles.

The aggregate has a diameter of about 100 μm to about 700 μm , preferably about 200 μm to about 400 μm , in size and is composed of aggregated or fused primary UHMW polyethylene particles having a particle size of about 0.1 μm to about 250 μm , preferably about 0.1 μm to about 40 μm , and more preferably about 0.1 μm to about 20 μm . A suitable aggregate UHMW polyethylene is grade GUR 2122, available from Ticona. Nonaggregated UHMW polyethylene particles having a particle size of less than about 10 μm may be used to make the node and fibril structure materials, provided handling and safety problems associated with such fine particles are avoided.

The synthesis of UHMW polyethylene is known to occur by Ziegler-Natta catalysis using a transition metal catalyst such as titanium, chromium or zirconium, and a co-catalyst such as aluminum. The nature of the catalyst and the order of the addition of the catalyst and co-catalyst affects the morphology of the resulting polymer. To synthesize material comprising an aggregate of a primary particle as discussed above, preferably a suspension polymerization of ethylene is used with a catalyst such as biscyclopentadienyl titanium dichloride, biscyclopentadienylzirconium dichloride, or cyclopentadienyl zirconium trichloride, and a co-catalyst such as trialkylaluminum, soluble in an alkane medium such as heptane or hexane. The trialkylaluminum co-catalysts include triethyl aluminum, tri-isopropylaluminum, and tributylaluminum. The synthesis of UHMW polyethylene by Ziegler-Natta Catalysis is described in D. Breslow et al., J. Am. Chem. Soc., 31, 81-86 (1959), J. Chien et al., J. Polym. Sci. Polym. Chem., 31, 227-237 (1993), and U. Zucchini et al., J. Molec. Cat., 82, 45-56 (1993), incorporated in their entireties by reference herein. An open aggregate structure can be formed by the control of the polymerization

rate, with use of a particular temperature and catalyst, which affects the particle stability and dynamics of aggregation.

One presently preferred method of forming the UHMW polyethylene generally comprises preparing a homogeneous paste of UHMW polyethylene in a low boiling mineral oil. The paste is then compacted into a billet by applying pressure and optionally applying heat. The billet is then loaded into a ram extruder and a tube or film is extruded. The extrusion may be done at room temperature, or the temperature may be elevated. The oil is then evaporated from the UHMW polyethylene by heating the film to a temperature not exceeding the crystalline melting point of the UHMW polyethylene. The film or tube is then uniaxially or biaxially oriented to produce the oriented node and fibril structure. The oriented tube may then optionally be heat set at temperatures just above the melting point of UHMW polyethylene, which has a crystalline melting point of about 130-140 °C.

Another presently preferred process comprises compacting UHMW ethylene particles into a billet at temperatures below the crystalline melting point of the polymer. Preferably, this step would be done at about 100°C to about 120°C. The pressure applied is about 0.01 GPa to about 0.08 Gpa, preferably about 0.01 GPa to about 0.1 GPa. The billet is then sintered at temperatures above the crystalline melting point of the polymer without applying any pressure. This step is completed at a preferred temperature of about 130°C to about 160°C. The sintered billet is extruded through a film or annular die in a ram extruder. The UHMW polyethylene is then optionally oriented and heat set as described above.

Generally, the balloons of the invention are formed from a film of stretched material. The material is wrapped around a mandrel to form a tube and then heated to fuse the wrapped material together. The resulting tubular member may be secured to the conventional catheter components by laser bonding or plasma treatment followed by adhesive bonding.

In one embodiment, the UHMW polyethylene material having a node and fibril structure is further processed by compressing the material or otherwise reducing the porosity of the material. After the polyethylene powder, which was previously compacted, is deformed or stretched to impart a node and fibril structure, the compressing force is applied to form the compressed microporous UHMW polyethylene having a reduced porosity. The material is compressed without destroying the node and fibril structure of the UHMW polyethylene. Thus, the compressed UHMW polyethylene material does not have to be restretched or redeformed to recreate the node and fibril structure. Depending on the desired result, the material is compressed either before or after being formed into a balloon or other tubular medical device component. The material is preferably heated in the compressed state, so that the material is sintered, and the dimensions of the compressed material will not change upon removal of the compressive force. The elevated temperature is sufficient to cause the material to remain in the reduced porosity state from the compression at least until the balloon is inflated. The compressing force reduces the porosity and the pore size of the material. In one embodiment, expansion of the balloon results in the porosity of the UHMW polyethylene returning to the precompression porosity value. A compressing force sufficient to reduce the porosity to about 20% to

about 60% for a UHMW polyethylene having an original (precompressed) porosity of about 40% to about 90% is used.

In one embodiment, the material is formed into a balloon and then is radially compressed (i.e., in the radial direction of the tubular
5 balloon), in order to reduce the profile of the material and consequently provide a low profile balloon formed from the material. Preferably, the entire length of the balloon material is radially compressed. However, only a section of the balloon material may be exposed to the radially compressing force. The radially compressing
10 force may be applied at one time to the entire length of the balloon material, or alternatively, to multiple short sections of the balloon material in turn. The material is heated as the radially compressing force is applied. For example, the wrapped material may be heated to fuse the material and form the balloon, and then the compressing
15 force applied to the balloon either while at the elevated fusing temperature or after cooling and then reheating to an elevated temperature. The radially compressing force reduces the thickness of the microporous UHMW polyethylene by about 10% to about 50%.

In one embodiment, the material is formed into a balloon and
20 then the balloon is axially compressed, (i.e., along a longitudinal axis of the tubular balloon), such that the balloon radially expands during inflation without significant shortening of the length of the balloon. In a presently preferred embodiment, in the embodiment in which the material is helically wrapped on a mandrel and fused to form the
25 tubular balloon, the tubular balloon is at least axially compressed. Thus, the balloon axially shrinks during radial expansion by less than about 15%, preferably less than about 10%, of the length of the balloon, compared with a nonaxially compressed balloon which is

believed to shrink by greater than about 15% to about 30% of the length of the balloon. For axial compression, the tube is typically disposed on a mandrel and the length of the tube shortened by displacing a member that is coaxial with the mandrel. The
5 compressed material is heated in the compressed state. For example, the ends of the axially compressed material are fixed by tightening a wire or a fastening member thereto, and the material is then heated in the axially compressed condition to an elevated temperature at or above the crystalline melting temperature of the material, or of about
10 130°C to about 170°C for UHMW polyethylene. The axially compressing force reduces the length of the microporous UHMW polyethylene by about 10% to about 50%.

In another embodiment, the material is compressed before being formed into a balloon, such that the resulting balloon formed from the
15 compressed material has a hybrid compliant curve in which it has substantial expansion within a first pressure range and substantially less expansion within a second pressure range greater than the first pressure range. In one embodiment, the compressing force is applied to the material in a direction parallel to or substantially parallel to the
20 direction(s) of deformation which imparted the node and fibril structure, while the radial direction or thickness of the material is restrained to a constant value. The terminology substantially parallel should be understood to mean closer to parallel to than perpendicular to the direction of deformation which imparted the node and fibril
25 structure. Preferably, the compression is in the direction of the fibrils, and the fibrils are oriented along the hoop direction, i.e., circumferentially, of the resulting balloon. In order to apply the compressing force parallel to the direction of the fibrils while holding

the thickness of the material constant, a sheet of material may be placed flat between two plates and the ends of the materials compressed inwardly towards one another. The compressed material is heated in the compressed state. The length of the material is decreased, and in a presently preferred embodiment to about 10% of the original length, and the thickness does not increase due to the restraining force of the plates. In an alternative embodiment, the material used to make a balloon having a hybrid compliant curve is produced by applying the compressing force perpendicular or substantially perpendicular to the direction of deformation which imparted the node and fibril structure, and the thickness of the material thus reduced. The compressed UHMW polyethylene balloon material provides a balloon having a compliance of about 0.25 mm/atm to about 0.75 mm/atm within a first pressure range of about 1 atm to about 6-8 atm, and a compliance of about 0.015 mm/atm to about 0.07 mm/atm within a second pressure range of about 7-9 atm to about 16-18 atm.

While discussed in terms of a balloon, it should be understood that the compression of the UHMW polyethylene discussed above applies to the other embodiments of the invention, including the vascular graft and stent cover. Additionally, while discussed primarily in terms of UHMW polyethylene, the compression which results in reduced porosity may be used on other polymeric materials having a node and fibril structure, including for example expanded polytetrafluoroethylene, nylon, and polypropylene. Moreover, while discussed primarily in terms of a radially expandable component for a medical device having a node and fibril microstructure, with an unexpanded compressed configuration having a reduced porosity

relative to the material in a noncompressed configuration, it should be understood that a variety of suitable materials may be used which are compressible to the compressed configuration as discussed herein according to the invention.

5 The dimensions of catheter 10 are determined largely by the size of the balloon and guidewires to be employed, catheter type, and the size of the artery or other body lumen through which the catheter must pass or the size of the stent being delivered. Typically, the outer tubular member 14 has an outer diameter of about 0.025 to
10 about 0.04 inch (0.064 to 0.10 cm), usually about 0.037 inch (0.094 cm), the wall thickness of the outer tubular member 14 can vary from about 0.002 to about 0.008 inch (0.0051 to 0.02 cm), typically about 0.003 to 0.005 inch (0.0076 to 0.013 cm). The inner tubular member 16 typically has an inner diameter of about 0.01 to about
15 0.018 inch (0.025 to 0.046 cm), usually about 0.016 inch (0.04 cm), and wall thickness of 0.004 to 0.008 inch (0.01 to 0.02 cm). The overall length of the catheter 10 may range from about 100 to about 150 cm, and is typically about 135 cm. Preferably, balloon 24 may have a length about 0.5 cm to about 4 cm and typically about 2 cm,
20 and an inflated working diameter of about 1 to about 8 mm, and in a preferred embodiment, an uninflated diameter of not greater than about 1.3 mm.

Inner tubular member 16 and outer tubular member 14 can be formed by conventional techniques, for example by extruding and
25 necking materials already found useful in intravascular catheters such as polyethylene, polyvinyl chloride, polyesters, polyamides, polyimides, polyurethanes, and composite materials. The various components may be joined by heat bonding or use of adhesives.

While the present invention is described herein in terms of certain preferred embodiments, those skilled in the art will recognize that various modifications and improvements may be made to the invention without departing from the scope thereof. For example, in the embodiment
5 illustrated in FIG. 1, the catheter is over-the-wire stent delivery catheter. However, one of skill in the art will readily recognize that the balloons of this invention may also be used with other types of intravascular catheters, such as and rapid exchange dilatation catheters having a distal guidewire port and a proximal guidewire port and a short guidewire lumen extending
10 between the proximal and distal guidewire ports in a distal section of the catheter. Moreover, although individual features of one embodiment of the invention may be discussed herein or shown in the drawings of the one embodiment and not in other embodiments, it should be apparent that individual features of one embodiment may be combined with one or more
15 features of another embodiment or features from a plurality of embodiments.

WHAT IS CLAIMED IS:

1. A balloon for an intraluminal catheter, wherein the balloon
5 comprises ultrahigh molecular weight polyolefin having a node and
fibril structure comprising nodes interconnected by fibrils.

2. The balloon of claim 1, wherein the ultrahigh molecular
weight polyolefin has a molecular weight of about 2 million to about
10 million gm/mole.

10 3. The balloon of claim 1 wherein the ultrahigh molecular
weight polyolefin comprises an ultrahigh molecular weight
polyethylene.

4. The balloon of claim 1 wherein the balloon comprises a
microporous ultrahigh molecular weight polyolefin having a porosity of
15 about 20% to about 90%.

5. The balloon of claim 1, wherein the balloon is inflatable
from a noninflated state, and the ultrahigh molecular weight polyolefin
exhibits volumetric compressibility in the uninflated state.

6. The balloon of claim 1 wherein the balloon in a noninflated
20 state comprises ultrahigh molecular weight polyolefin in a compressed
configuration having a reduced porosity relative to the ultrahigh
molecular weight polyolefin in a noncompressed configuration.

7. The balloon of claim 6, wherein the ultrahigh molecular
weight polyolefin in the compressed configuration has a porosity of
25 about 20% to about 60%.

8. The balloon of claim 6, wherein the balloon expands compliantly at pressures below about 6 atm and substantially less compliantly at pressures above about 6 atm.

9. The balloon of claim 8, wherein the balloon has an outer diameter which expands by about 70% to about 450% of the uninflated diameter at pressures below about 6 atm.

10. The balloon of claim 1, wherein the ultra high molecular weight polyolefin having a node and fibril microstructure is prepared from aggregated polyolefin particles, the aggregate having a diameter of about 100 μm to about 700 μm .

11. The balloon of claim 10, wherein the aggregate comprises ultra high molecular weight polyolefin primary particles having a particle size of about 0.1 μm to about 40 μm .

12. A balloon catheter having an elongated shaft having a proximal end, a distal end and at least one lumen, and a balloon on a distal shaft section having an interior in fluid communication with the shaft lumen, the balloon comprising the balloon of claim 1.

13. A method for forming a balloon for an intraluminal catheter, comprising

- a) compacting an ultrahigh molecular weight polyolefin;
- b) deforming the compacted polyolefin to render the polyolefin microporous and to impart a node and fibril microstructure to the polyolefin; and

c) reducing the porosity of the microporous polyolefin to form a reduced porosity polyolefin having the node and fibril microstructure.

14. The method of claim 13 wherein the porosity of the
5 microporous polyolefin is reduced by compressing the microporous polyolefin to form compressed polyolefin having a node and fibril microstructure.

15. The method of claim 14 including, before or after
compression of the microporous polyolefin, forming a tubular member
10 from the microporous polyolefin.

16. The method of claim 14 wherein the microporous polyolefin has a thickness, and compressing the microporous polyolefin comprises reducing the thickness of the microporous polyolefin

15 17. The method of claim 16 wherein the thickness is reduced by 10% to 50%.

18. The method of claim 14 wherein the compacted polyolefin is deformed by stretching in at least one direction, and the porosity of the microporous polyolefin is reduced by compressing the microporous
20 polyolefin in a direction substantially perpendicular to the at least one direction of stretching.

19. The method of claim 18 wherein the microporous polyolefin has a thickness, and compressing the microporous polyolefin further comprises restraining the thickness from increasing.

20. The method of claim 14 wherein the compacted polyolefin is deformed by stretching in at least one direction, and the porosity of the microporous polyolefin is reduced by compressing the microporous polyolefin in a direction substantially parallel to the at least one
5 direction of stretching.

21. The method of claim 14 wherein the microporous polyolefin has a length, and compressing the microporous polyolefin comprises reducing the length of the microporous polyolefin

22. The method of claim 21 wherein the length is reduced by
10 10% to 50%.

23. The method of claim 14, wherein the polyolefin is polyethylene and forming a balloon from the polyethylene comprises wrapping the deformed polyethylene around a mandrel to form a tube and heat fusing the wrapped polyethylene while substantially
15 maintaining the oriented node and fibril structure.

24. The method of claim 23 including, after heat fusing the wrapped polyethylene, axially compressing the tube along a longitudinal axis of the tube.

25. A method of performing a medical procedure, comprising
20 a) introducing into a patient a balloon catheter comprising
i) an elongated shaft having a proximal end, a distal end, and at least one lumen therein; and
ii) a balloon on a distal shaft section which has
25 an interior in fluid communication with the shaft lumen, and

which comprises ultrahigh molecular weight polyolefin, and which has an noninflated state comprising the ultrahigh molecular weight polyolefin in a compressed configuration having a node and fibril structure and a reduced porosity relative to the ultrahigh molecular weight polyolefin in a noncompressed configuration; and

b) introducing inflation media into the balloon interior to inflate the balloon.

26. A stent delivery system, comprising a catheter having an elongated catheter shaft with an inflation lumen, a balloon on a distal section of the shaft, and a stent releasably mounted on the balloon, wherein a component of the system is formed of an ultrahigh molecular weight polyolefin having a node and fibril structure comprising nodes interconnected by fibrils, wherein the ultrahigh molecular weight polyolefin is in a compressed configuration having a reduced porosity relative to the ultrahigh molecular weight polyolefin in a noncompressed configuration.

27. The stent delivery system of claim 26 wherein the ultrahigh molecular weight polyolefin comprising polyethylene.

28. The stent delivery system of claim 26 wherein the component formed of ultrahigh molecular weight polyolefin is the balloon.

29. The stent delivery system of claim 26 wherein the component formed of ultrahigh molecular weight polyolefin is a sheath on a surface of the stent.

30. A vascular graft, comprising a tubular body formed of an ultrahigh molecular weight polyolefin having a node and fibril microstructure comprising nodes interconnected by fibrils, wherein the ultrahigh molecular weight polyolefin is in a compressed configuration
5 having a reduced porosity relative to the ultrahigh molecular weight polyolefin in a noncompressed configuration.

31. A medical device comprising a radially expandable component formed of a polymeric material having a node and fibril structure with a compressed preexpanded configuration in which the
10 polymeric material has a reduced porosity relative to the polymeric material in a noncompressed configuration.

32. The medical device of claim 31 wherein the polymeric material is ultrahigh molecular weight polyolefin.
15

33. The medical device of claim 32 wherein the ultrahigh molecular weight polyolefin is ultra high molecular weight polyethylene.

20 34. The medical device of claim 31 wherein the component comprises the polymeric material helically wrapped and fused into a tube, and wherein the compressed preexpansion configuration comprises the tube axially compressed along a longitudinal axis of the tube.

25 35. The medical device of claim 31 wherein the component comprises the polymeric material helically wrapped and fused into a

tube, and wherein the compressed preexpansion configuration comprises the tube radially compressed to reduce the profile of the tube.

- 5 36. The medical device of claim 31 wherein the component comprises an axially compressed sheet of the polymeric material, the compressed sheet of polymeric material being helically wrapped and fused into a tube to form the component.

- 10 37. A method of forming a radially expandable component of a medical device, comprising

a) helically wrapping polymeric material having a node and fibril structure, and fusing the helically wrapped material together to form a tube of the polymeric material; and

- 15 b) heating the polymeric material tube to an elevated temperature, and axially compressing the polymeric material tube at the elevated temperature along a longitudinal axis of the tube to form the radially expandable component.

- 20 38. A balloon for an intraluminal catheter, wherein the balloon comprises ultrahigh molecular weight polyethylene.

- 25 39. A stent delivery system, comprising a catheter having an elongated catheter shaft with an inflation lumen, a balloon on a distal section of the shaft, and a stent releasably mounted on the balloon, wherein a component of the system is formed of an ultrahigh molecular weight polyethylene.

40. The stent delivery system of claim 39 wherein the ultrahigh molecular weight polyethylene is a microporous polyethylene

having a node and fibril microstructure comprising nodes interconnected by fibrils.

41. The stent delivery system of claim 40 wherein the component formed of ultrahigh molecular weight polyethylene is the
5 balloon.

42. The stent delivery of claim 40 wherein the component formed of ultrahigh molecular weight polyethylene is a sheath on a surface of the stent.

43. A vasvular graft, comprising a tubular body formed of an
10 ultrahigh molecular weight polyethyelene.

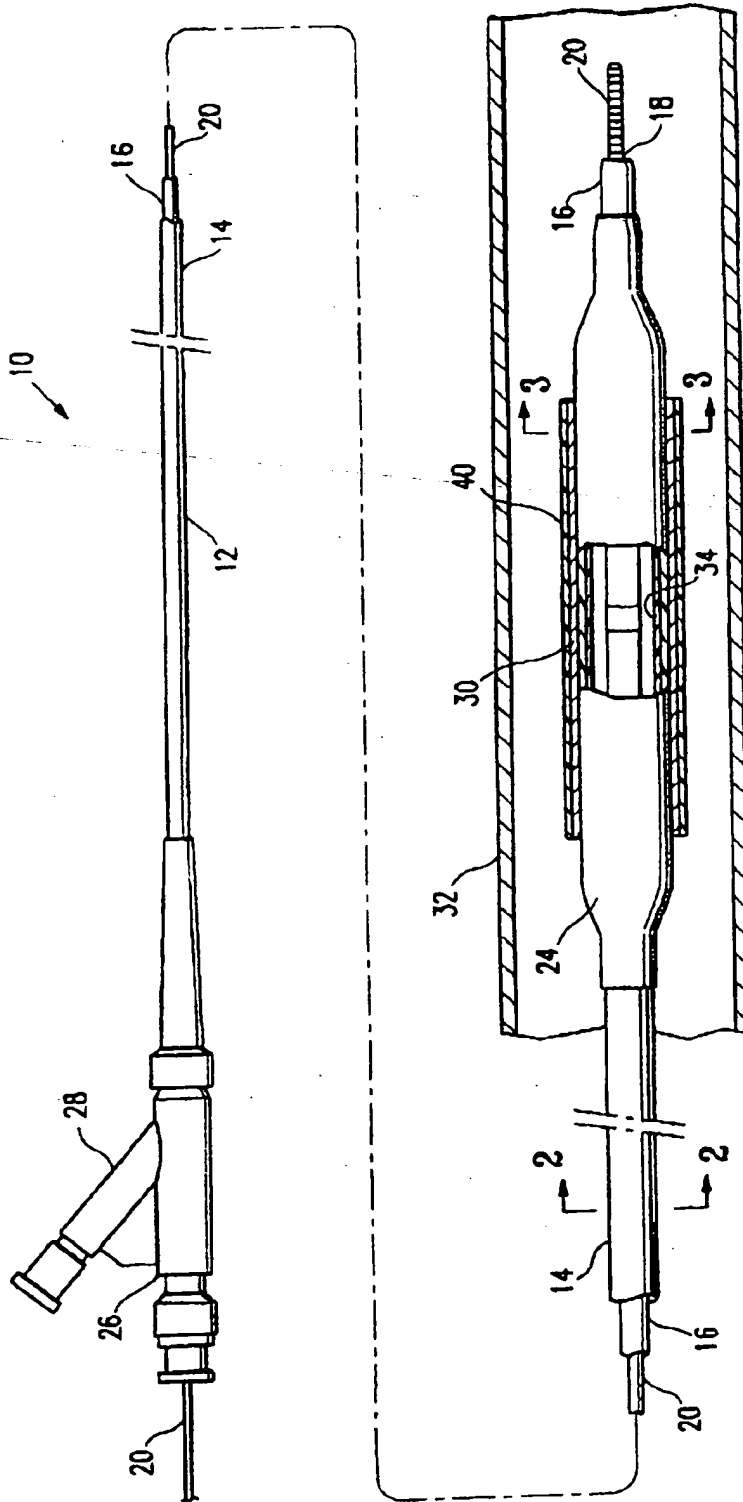


FIG. 1

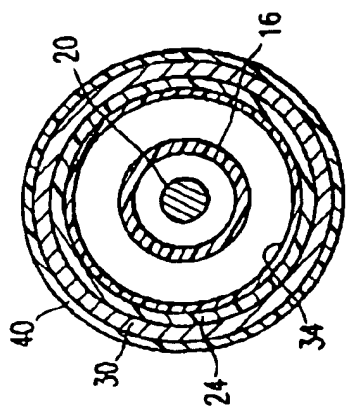


FIG. 3

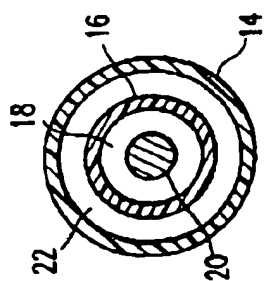


FIG. 2

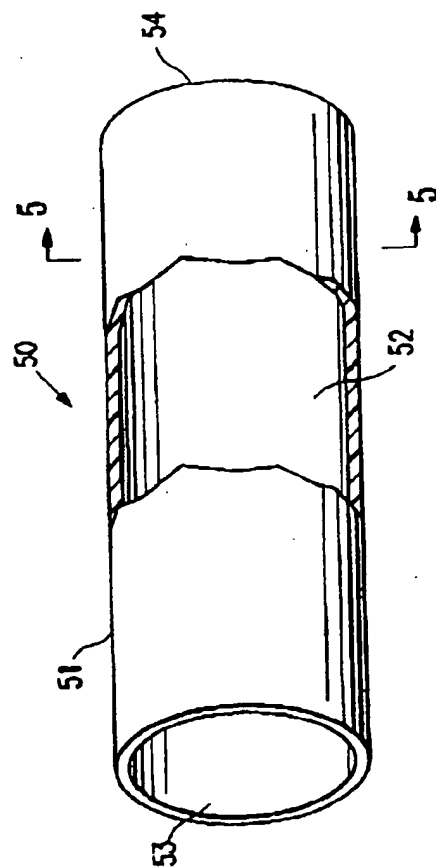


FIG. 4

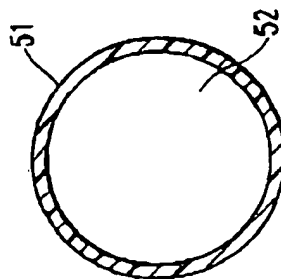


FIG. 5

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/34226

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61L29/04 A61L27/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC, BIOSIS, COMPENDEX

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 655 769 A (ZACHARIADES ANAGNOSTIS E) 7 April 1987 (1987-04-07) claims 1,2	30, 43
X	US 5 788 626 A (THOMPSON PAUL J) 4 August 1998 (1998-08-04) claim 1	31, 34-37
A	US 5 569 196 A (BAGAOISAN CELSO J ET AL) 29 October 1996 (1996-10-29) the whole document	1, 13, 26, 38, 39
A	EP 0 376 503 A (DU PONT) 4 July 1990 (1990-07-04) page 2, line 28 -page 3, line 20	1, 13, 26, 30-33, 38, 39, 43

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *G* document member of the same patent family

Date of the actual completion of the international search

16 May 2001

Date of mailing of the international search report

28/05/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel (+31-70) 340-2040, Tx. 31 651 epo nl.
Fax: (+31-70) 340-3016

Authorized officer

Heck, G

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

Continuation of Box I.1

Although claim 25 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

Continuation of Box I.1

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/34226

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4655769 A	07-04-1987	US 4944974 A	31-07-1990
		US 5160472 A	03-11-1992
		AU 5017285 A	15-05-1986
		EP 0198904 A	29-10-1986
		JP 62500598 T	12-03-1987
		WO 8602656 A	09-05-1986
US 5788626 A	04-08-1998	US 6165211 A	26-12-2000
		AU 714991 B	13-01-2000
		AU 7187796 A	29-05-1997
		CA 2190717 A	22-05-1997
		EP 0775472 A	28-05-1997
		JP 9173467 A	08-07-1997
US 5569196 A	29-10-1996	NONE	
EP 0376503 A	04-07-1990	AT 122901 T	15-06-1995
		BR 8907795 A	27-08-1991
		CA 2004455 A	02-06-1990
		DE 68922863 D	29-06-1995
		DE 68922863 T	23-11-1995
		DK 104291 A	31-05-1991
		EP 0449878 A	09-10-1991
		ES 2073012 T	01-08-1995
		JP 4502024 T	09-04-1992
		WO 9006140 A	14-06-1990
		US 5037928 A	06-08-1991

THIS PAGE BLANK (USPTO)